



# COMPLIANCE HEALTH CHECK

The **Compliance Health Check** has been developed by, and is unique to, Pennington Choices - an organisation with demonstrable experience of delivering property compliance services from both a client and contractor perspective.

We have in-house technical advisors from a fire, gas, electrical, water and asbestos background, and our team of consultants have experience in overseeing property compliance at executive and operational levels within housing associations, local authorities, ALMOS and contractor businesses.

As a result, we take a pragmatic approach to every Compliance Health Check, acting as your critical friend by ensuring there is a practical and workable action plan for you to implement.



## What is a Compliance Health Check?

- It is the starting point to assist providers with managing property compliance effectively across the 'big six' compliance areas: gas safety, electrical safety, fire safety, asbestos management, water hygiene and lifting equipment.
- Our Compliance Health Check will assess the extent of compliance risks and will identify any gaps that need to be addressed within your organisation, checking against statutory requirements and best practice; drawing on Health and Safety Executive (HSE) guidance, approved codes of practice and industry guidelines.

## Why would I need a Compliance Health Check?

- If you are unsure of your organisation's compliance position, feel that your compliance data is ineffective, or if you are facing regulatory scrutiny, then a Compliance Health Check will provide you with a gap analysis and practical recommendations to address those gaps.
- If you would like assurance of your compliance position and ensure that you are in line with your legal obligations and best practice.

- If you are just wanting reassurance that your organisation is conducting itself in the right way, our Compliance Health Check will provide you with the necessary assurance to satisfy you, your tenants and the Regulator of Social Housing (RSH).

## What does a Compliance Health Check include?

- Investigation into the 'big six' areas of compliance.
- Assessing your levels of compliance with statutory legislation.
- Provision of tools to enable effective compliance management.
- Assessing that your compliance strategies, policies, procedures are fit-for-purpose.
- Assessing your inspection regime to ensure that it allows you to proactively identify risks.
- Assessing that your compliance systems for capturing and maintaining records are fit-for-purpose.
- Assessing that compliance is firmly on the agenda of your board, management or governance teams, to ensure that compliance is embedded within the organisation as a key component of your risk strategy.

## Our experience

We have delivered the Compliance Health Check to both large and small organisations across the UK. Organisations include; Metropolitan Thames Valley, Progress Housing, Onward Homes,

Nugent Care, Leeds and Yorkshire Housing Association, Industrial Dwellings Society, South Liverpool Homes, Weaver Vale Housing Trust and more.

## How we delivered a Compliance Health Check for Leeds and Yorkshire Housing Association (LYHA)

1. LYHA's governance rating was downgraded from G1 to G2 after commencing dialogue with the Regulator of Social Housing (RSH), in respect of electrical safety.
2. LYHA appointed us to undertake a compliance review of the 'big six' areas of property compliance, with the aim of providing LYHA with a position statement for each area of compliance, and a roadmap to improving their compliance arrangements.
3. After completing the initial Compliance Health Check to gain an understanding of LYHA's compliance position we were able to provide LYHA with a tailor made roadmap to compliance.
4. LYHA commissioned us to provide ongoing external scrutiny of compliance through several follow-up compliance reviews. The reviews included time on site with key compliance staff to identify the progress that had been made in respect of the compliance roadmap.
5. As a result of our work, and the hard work and dedication of the LYHA Board, Compliance and Leadership teams, the organisation regained its G1 rating in just 14 months.

## What our clients say

“The Pennington Choices team are consistent in delivering excellent outcomes on time, every time. They have been instrumental in helping us deliver, and evidence assurance on all aspects of our asset compliance, and have given helpful advice on many aspects.”

Suzanne Wolfe, CEO, Industrial Dwellings Society

Contact us for expert advice  
or a **FREE** quotation.

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