Investing in Alumni Ventures Via Your Retirement Account

Alumni Ventures investments are 10-year funds that require a long-term mindset — a strong match for retirement funds. Most banks and brokerage firms are set up for publicly traded investments but don't allow IRAs to invest in venture capital on their platform. In our experience, IRA investors need to open a self-directed IRA (SD IRA) to invest retirement funds in venture capital.

We make it very easy to set up an SD IRA for your AV investments. We work with a trust company that sets up an IRA on your behalf and manages all administration. Monies can be transferred without penalty or without jeopardizing tax-deferred status.

How It Works

STEP 1 Demonstrate to AV that you're accredited (takes just minutes).

STEP 2 Set up a new SD IRA account (10 minutes).

STEP 3 Complete a transfer form to initiate the transfer of funds from your existing IRA to your new account.

STEP 4 Your SD IRA account is funded with the transfer from your existing IRA provider.

STEP 5 AV Investor Relations provides your investment subscription documents for electronic signature via DocuSign.

STEP 6 The company managing your SD IRA funds your AV investment on your behalf. You're done.

Frequently Asked Questions

WHAT TRUST COMPANY DO YOU USE?

We partner with STRATA Trust Company. We've chosen them to work with for several reasons:

- Excellent customer service, including AV-dedicated service team
- Low flat annual fee of $225; no transaction fees or higher fees based on holdings
- Undirected cash held in interest-bearing FDIC insured account
- Clients can also invest in stocks and mutual funds
- Strong back-end systems
- Excellent track record: 50,000 clients, $4 billion in assets

STRATA has an AV-dedicated page on their website: stratatrust.com/av.

HOW MUCH DOES THE STRATA SERVICE COST?

There is a $225 annual account fee regardless of the number of holdings in the account. No additional charges.

CAN I USE AN EXISTING SD IRA?

Our Investor Relations Managers can help you discover whether your present firm can custody an AV fund investment.

CAN I USE 401(K) FUNDS?

Maybe. If it's an active plan, check with your employer. If from a previous employer, then yes, you can roll those into an SD IRA.

WHAT ABOUT DISTRIBUTIONS?

Distributions are paid directly back to your SD IRA, rather than to you as an individual.

WHAT ABOUT K-1S?

K-1s are distributed to your custodian.

STILL HAVE QUESTIONS?

Contact AV Investor Relations via email at Investor.Relations@av.vc.

ALUMNI VENTURES
Network-Powered Venture Capital

info@av.vc
av.vc

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