



## Director of Regulatory Compliance and Finance – CPA Job Posting

### **Company Profile:**

AscendantFX is a global provider of international business to business payment solutions. AscendantFX Capital Inc., the parent company, is based in Canada with wholly owned subsidiaries AscendantFX Capital USA, Inc. and AscendantFX Capital UK Limited.

AscendantFX Capital is searching for an Accountant to join our team as the Director of Regulatory Compliance and Finance. This position has excellent career development opportunities.

### **Position Overview:**

Reporting to the SVP, Finance and Regulatory Compliance in the Legal-Compliance Department, the Director of Regulatory Compliance and Finance will be responsible for managing US and UK regulatory requirements and support finance functions. Analyze, interpret, and apply regulation in relation to financial reporting to ensure accuracy and compliance with the respective legislation.

- Provide accurate and effective responses to a wide range of financial reporting to the respective regulatory body, including average daily transmission liability, permissible investments, capital adequacy and continuous disclosure documents (i.e. financial statements, client trading activity, etc.).
- Exercise financial expertise in applying judgment, accounting applications, and regulatory law for a non-bank financial institution.
- Ensure that all reporting and financial statements adhere to the tight regulatory internal control processes, policies and operating procedures.
- Maintain an accurate and complete trail of supporting documentation for all financial and reporting activities.
- Working interpedently with the CIO and Datasoft (FXOffice) to develop automated systems for timely and concise reporting as it relates to each state the company is licensed in.
- Prepare state licensing applications and ensure the company adheres to and complies with licensing agreements and relative state laws.
- Prepare calculations to ensure the company always maintains suitable base capital and surety bonds based on average daily transmission liabilities.
- Respond to requests for information by state regulators and for state regulatory examinations and assist state examiners as required.

This position will be cross trained with the Finance department to provide interdepartmental support as required.

### **Qualifications and Requirements**

- Completion of a designated CPA program
- Minimum five (5) years public practice experience
- Minimum of two (2) years of people management experience
- Audit experience
- Tax experience would be a benefit



We offer a competitive salary, full benefits and more! To apply on this exciting opportunity, please send your resume and cover letter to: Jeanne Millis at [HRLegalCompliance@ascendant.world](mailto:HRLegalCompliance@ascendant.world).

We would like to thank all applicants for their interest, please note only those selected for an interview will be contacted.